

A photograph of the Statue of Liberty at night, illuminated with a blue light. The statue is the central focus, with its crown and rays clearly visible. In the background, the dark city of New York is visible, with the Chrysler Building's top illuminated. The overall mood is solemn and official.

CITIZENS CRIME COMMISSION

OF NEW YORK CITY

AWARD CEREMONY
NOVEMBER 6, 2013



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WELCOME NOTE from RICHARD M. ABORN

Dear Friends,

Welcome to the third annual fundraiser for the Citizens Crime Commission of New York City. This year we celebrate 35 years of effective advocacy – work which has made our city safer and influenced the direction of national, state, and local criminal justice policy.

At the Crime Commission, we are prouder than ever of the role we play in the public process. We are also grateful for the generous support of people like you, who make our work possible.

Keeping citizens safe and government responsive requires vigilant research and analysis in order to develop smart ideas for improving law enforcement and our criminal justice system. To enable positive change, we are continually looking for new trends in crime and public safety management while staying at the forefront of core issue areas such as guns, gangs, cyber crime, juvenile justice, policing, and terrorism.

This year has been as busy as ever. We've stoked important policy discussions, added to critical debates, and offered useful ideas for reform. From hosting influential voices in public safety – such as Attorney General Eric Schneiderman, Chief Judge Jonathan Lippman and United States Attorney Preet Bharara – at our Speaker Series forums, to developing cyber crime education initiatives and insightful research on the city's gangs, the Crime Commission continued its tradition as the pre-eminent advocate for a safer New York.

And now we're honored to recognize a true criminal justice innovator, former Manhattan District Attorney Robert M. Morgenthau. The impact of Mr. Morgenthau's dedicated service to this city is immeasurable. Not only did he oversee 3.5 million criminal prosecutions in his time as chief prosecutor, he also changed forever the way we pursue and secure justice. We thank him for joining us tonight, so that the criminal justice community can show him its praise and deep admiration.

Thank you all for your outstanding commitment and ongoing support. Enjoy the evening.

Best,

Richard M. Aborn
President

THEODORE ROOSEVELT LEADERSHIP AWARD

The Crime Commission's Theodore Roosevelt Leadership Award recognizes pioneering leadership in the field of criminal justice.

ROBERT M. MORGENTHAU

Robert M. Morgenthau served as Manhattan's legendary District Attorney from 1975 to 2009. In his nine terms in office, the Manhattan District Attorney's Office conducted roughly 3.5 million criminal prosecutions, helping to reduce homicides in New York County by over 90%. Mr. Morgenthau vigorously prosecuted white-collar criminals as well, becoming the nation's leading proponent of the enforcement of state law to combat "crime in the suites." Mr. Morgenthau was born in 1919 in New York City. In June 1940, while still an undergraduate at Amherst College, he enlisted in the U.S. Navy's V-7 program. Upon his graduation in 1941, he joined the Navy and on December 7, 1941, was an ensign on a destroyer in Boston Harbor. He served throughout World War II aboard destroyers in the North Atlantic, the South Atlantic, the Mediterranean, and the Pacific Theater, rising to the rank of Lieutenant Commander. Mr. Morgenthau was the Executive Officer and Navigator aboard the U.S.S. Lansdale when the ship was torpedoed and sunk by German aircraft while protecting a convoy. He was then the Executive Officer and Navigator on a new destroyer assigned to support the Iwo Jima and Okinawa invasions. That ship, the U.S.S. Harry F. Bauer, was torpedoed and hit by a Kamikaze carrying a 550-pound bomb that failed to explode. The ship's crew received a Presidential Unit Citation for its conduct during the Okinawa campaign.

After he graduated from Yale Law School, Mr. Morgenthau entered private practice working for Robert P. Patterson, a former Judge of the Second Circuit Court of Appeals. In 1961, he was appointed by President Kennedy to the position of United States Attorney for the Southern District of New York and continued that role until 1970. Mr. Morgenthau successfully ran for district attorney of New York County in November 1974, and served until his retirement in 2009.

Mr. Morgenthau is currently a partner at the law firm of Wachtell, Lipton, Rosen, & Katz. In addition, he serves as the chairman of New York City's Police Athletic League and as chairman of the Museum of Jewish Heritage—A Living Memorial to the Holocaust. He lives in Manhattan and on a farm in Dutchess County with two of his seven children and with his wife, the Pulitzer Prize-winning journalist Lucinda Franks.

Previous recipients of the Crime Commission's Theodore Roosevelt Leadership Award include: Richard Girgenti, National and Americas leader for KPMG LLP's Forensic Advisory Services; and Eric T. Schneiderman, New York State Attorney General.

GALA COMMITTEE CO-CHAIRS

RICHARD H. GIRGENTI

Richard H. Girgenti is the National and Americas leader for KPMG LLP's Forensic Advisory Services and a member of the firm's Global Forensic Executive Committee. He has more than 35 years of experience both nationally and globally conducting investigations and providing fraud risk management advisory services to public and private corporations, as well as federal and state government entities and not-for-profit organizations.

Mr. Girgenti has served as a member of the Board of Directors for KPMG LLP and the Americas. He is the coauthor of a book – *Managing the Risk of Fraud and Misconduct: Meeting the Challenges of a Global, Regulated and Digital Environment* (The McGraw-Hill Companies, Inc., March 2011).

Prior to joining KPMG, Mr. Girgenti held a number of high-level legal and law enforcement positions. In the 1990's, he served as the New York State Director of Criminal Justice and Commissioner of the Division of Criminal Justice Services, where he oversaw and coordinated the policies and initiatives of all the state's criminal justice agencies and worked closely with all federal and state law enforcement agencies. He is also a former veteran prosecutor in the Office of the Manhattan District Attorney, where he handled investigations, trials, and appeals in both the state and federal courts, including investigations and prosecutions of white collar, violent and major narcotics organized crime cases.

BARBARA S. JONES

Barbara S. Jones was a judge in the U.S. District Court for the Southern District of New York for 16 years. Judge Jones handled a wide range of cases relating to accounting and securities fraud, antitrust, fraud and corruption involving city contracts and federal loan programs, labor racketeering and terrorism. In addition to her judicial service, she spent more than two decades as a prosecutor. Judge Jones now brings that deep experience to her private practice, focusing on corporate compliance issues, internal investigations, and service as a court-appointed monitor or special master as a partner at Zuckerman Spaeder LLP.

Prior to her nomination to the bench in 1995, Judge Jones was the Chief Assistant to Robert M. Morgenthau, then the District Attorney of New York County. In that role she supervised community affairs, public information and oversaw the work of the Homicide Investigation Unit. Previously, Judge Jones was an Assistant U.S. Attorney in the Southern District of New York. She tried a number of significant organized crime cases in that position, including one of the first Racketeer Influenced and Corrupt Organizations Act (RICO) cases against New York City's Bonanno organized crime family.



Congratulations.

KPMG LLP and Richard H. Girgenti are proud to support the Citizens Crime Commission of New York City and join them in saluting Robert M. Morgenthau.

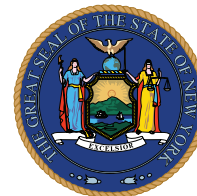
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A SHORT HISTORY OF THE CRIME COMMISSION

The Citizens Crime Commission of New York City traces its roots to previous citizen-based crime-fighting organizations, including the New York Society for the Prevention of Crime, founded in 1878, which helped temporarily bring down Tammany Hall and install proven reformer Theodore Roosevelt as the president of the New York City Board of Police Commissioners. A Crime Commission was formed in the 1930s to support New York District Attorney Tom Dewey's drive to clean up rackets, while another in the 1950s was primarily focused on the threat of organized crime. The present Crime Commission was created by concerned members of the business community in 1978.

Responding to alarmingly high levels of street crime in New York City, the Crime Commission in 1990 proposed what became known as the "Safe Streets" plan, which called for hiring 5,000 additional New York City police officers. This increase provided enough staff to redirect police operations from a reactive 911 model toward prevention. It was a call, in effect, to create a model of policing based on the "Broken Windows" theory. Budget analysts believed that such a program would cost too much. Many academics dismissed "Broken Windows" as a fanciful notion. Political pundits declared there was little chance for the proposal to be adopted. Early in 1991, however, both the City Council and State Legislature enacted it, and it was signed into law by the Mayor and Governor to great success.

As the criminal justice landscape of New York City has changed, the Crime Commission's work has evolved with it. In recent years, the police have been extremely successful in reducing crime and improving public safety, giving us the opportunity to address other relevant interventions which are not strictly the province of police, but could have a major impact on criminal activity. The Crime Commission continues to bring forth new ideas on crime, focusing our interventions on illegal gun crime, juvenile crime, counter-terrorism, cyber crime, and targeted crime prevention strategies.



The Citizens Crime Commission of New York City is a member of the New York State Law Enforcement Council

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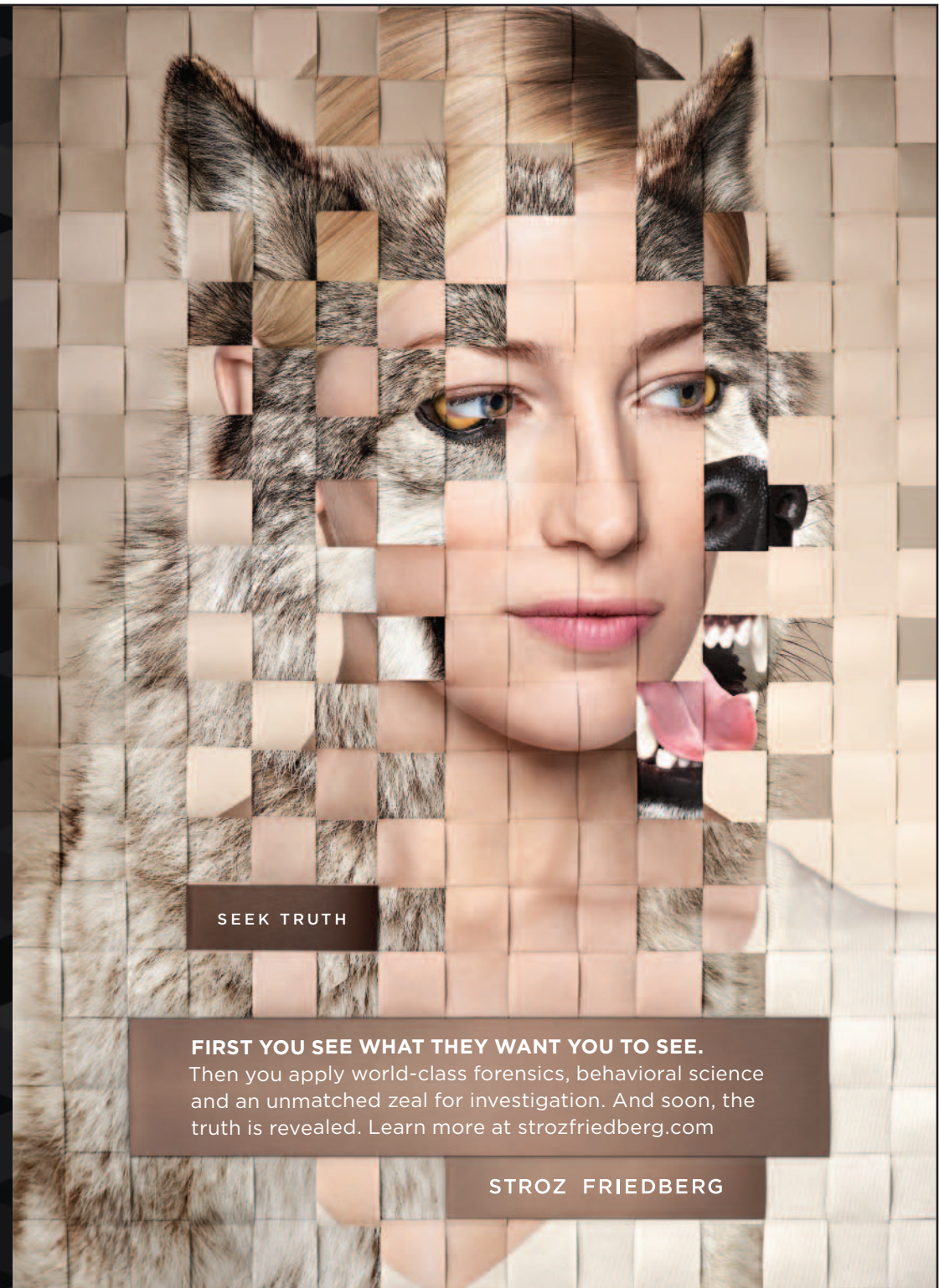
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ABOUT THE CRIME COMMISSION

In an effort to improve public safety and policing, the Citizens Crime Commission of New York City was established by the business community in 1978 as a not for profit criminal justice policy institute.

Maintaining its focus on *converting ideas into action*, the Crime Commission addresses gaps in the criminal justice system by combining expertise in research, advocacy, education, and innovation on a broad range of issues from juvenile justice, to gun violence, to cyber crime, to counter-terrorism, to crime prevention strategies.

Collaborating with the business community, government agencies, and the public, the Crime Commission has achieved tangible results:

- Between 1979 and 2000, the Crime Commission worked with NYC criminal justice stakeholders to reduce violent crime by 50%, by helping to add 5,000 new police officers to the NYPD, and developing strategies to restore traditional community policing and create community courts.
- The Crime Commission helped win passage of a state bill increasing the mandatory minimum sentence for illegal possession of a loaded handgun from 1 year to 3 ½ years in prison. To bring citywide attention to the new penalty, the Crime Commission developed the Guns=Prison PSA campaign. The poster advertisements have been displayed in public areas across the city.
- The Crime Commission was part of the successful coalition that won passage of legislation to expand the DNA databank to include all crimes upon conviction. On March 14, 2012, New York became the first state in the nation to collect DNA for all crimes.
- As part of an alliance with the FBI, the Crime Commission is working to better educate the public about cyber crime and to work with the business community and the public to reduce vulnerabilities.
- Most recently, the Crime Commission's efforts supported the passage of comprehensive legislation to combat gun violence, the NY SAFE Act.

In concert with its public policy efforts, the Crime Commission releases a variety of publications and hosts officials of national and international prominence at its Speakers Series forums. As a result, the Crime Commission has earned a reputation among the public, reporters, lawmakers, and legal professionals as an independent and objective expert on criminal justice and public safety policies and practices.

SPEAKER SERIES



For over 30 years, the Crime Commission has featured prominent government and law enforcement figures at its Speaker Series. Recent *Crime Commission Breakfast Forums*, *Milstein Criminal Justice Policy Forums*, and *James M. Fox Lectures* have featured:

Jonathan Lippman

Chief Judge
New York State Court System

Loretta Lynch

U.S. Attorney
Eastern District of New York

George Venizelos

Assistant Director in Charge
U.S. Federal Bureau of Investigation - NY

Cyrus R. Vance, Jr.

District Attorney
New York County

Lt. Gen. Frank Kearney

Deputy Director, Strategic Operational Planning
National Counter-Terrorism Center (former)

Stacia Hylton

Director
U.S. Marshals Service

Garry McCarthy

Director
Newark, NJ Police Department

Loretta Preska

U.S. District Court Chief Judge
Southern District of New York

Preet Bharara

U.S. Attorney
Southern District of New York

Robert Mueller

Director
U.S. Federal Bureau of Investigation

Lanny A. Breuer

Assistant Attorney General, Criminal Division
U.S. Department of Justice

Richard A. Clarke

Counter Terrorism Advisor
The White House (former)

Franklin E. Zimring

Professor of Law
University of California-Berkeley

William Bratton

Chief
Los Angeles Police Department

CYBER CRIME

Cyber crime is one of our most pressing national security and commercial challenges, despite the fact that private and public spending on information security has grown consistently over the last several years. In 2012, the average cost to each company suffering a cyber attack was \$8.9 million and the total cost to consumers worldwide from July 2011 to July 2012 was \$110 billion.

The evidence shows external attacks are the biggest and fastest growing problem in cyber security. Further, we know that the vast majority of recent cyber attacks have targeted people first because hackers know that people are less secure than systems. Exacerbating the problem is the fact that most employees access sensitive work data from a myriad of touch points, including their own personal devices. Accordingly, it is imperative that we go beyond thinking only about securing data on organizationally owned and operated computers and devices. Every unprotected device is an element of the attack surface available to hackers, and average citizens are currently ill-equipped to face these attacks.

The Crime Commission believes that the problem will continue to get worse until everyone starts taking an active role in security management. Every electronic device user needs to start thinking critically and strategically about identifying and closing security gaps. Small steps can have a big impact if everyone engages.

The Crime Commission is launching several initiatives designed to help adults, youth, and businesses address existing vulnerabilities. Our first initiative is a community campaign designed to motivate and empower individuals with the knowledge and tools necessary to engage more actively in reducing cyber risks to themselves, their families and their employers. A second campaign educates high school and college students on how to secure their devices and then requires them to teach family members how to secure their devices as well. Lessons learned from these campaigns will be captured and used to inform future initiatives.

To extend these initiatives and increase the likelihood of success, the Crime Commission welcomes the opportunity to build on existing alliances in the business and education communities and to work with all members of the business, education, and law enforcement communities interested in reducing cyber crime in New York and beyond.

The Crime Commission thanks Howard and Abby Milstein for early support of this initiative.

We are proud to support

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OF NEW YORK CITY

and join them in saluting

Robert M. Morgenthau

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LAWYERS COUNCIL

The Crime Commission's work often involves complicated legal issues. The commission is very fortunate to have the assistance and guidance of our Lawyers Council, which consists of highly experienced and well regarded attorneys who offer pro bono advice on a variety of issues.

From the private sector to public service, the Lawyers Council's combined experience encompasses a wide breadth: criminal justice policy, securities litigation, regulatory matters, public corruption, criminal law, fraud, and national and international terrorism cases.

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- Latham & Watkins, Partner

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- Former Executive Deputy Attorney General for Economic Justice, NYAG
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Edward C. Wallace

- Former Member-at-Large (Manhattan), New York City Council
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- Past President of the Federal Bar Council
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For his many years of service
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and

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BakerHostetler is proud to sponsor
The Citizens Crime Commission of New York City
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We congratulate honoree, Former Manhattan District Attorney, Robert M. Morgenthau for his leadership and service in the criminal justice field.

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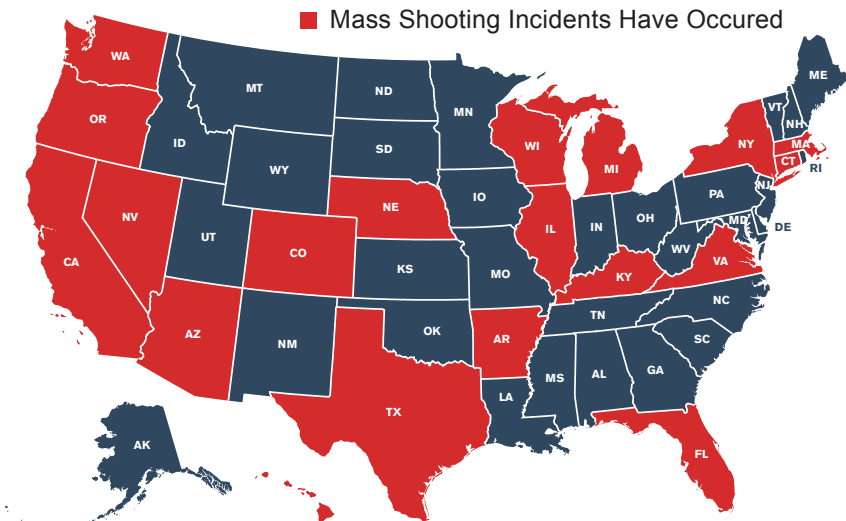
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MASS SHOOTING INCIDENTS IN AMERICA

Mass shootings have become a unique feature of American life with recent events in Newtown, Connecticut; Tucson, Arizona; Aurora, Colorado; and Oak Creek, Wisconsin. The Crime Commission maintains an online resource for the media and public providing an overview of significant mass shooting incidents that involved large capacity ammunition magazines. The database has been widely cited in the media and government reports (e.g., *Congressional Research Service*, *US News & World Reports*, *Mother Jones*).

The increased lethality of such incidents is made possible by the use of large capacity ammunition magazines (defined as more than 10-rounds) which enable a shooter to rapidly fire off as many as 100-rounds without having to reload the firearm. Designed for military use to kill greater numbers of people more effectively, large capacity ammunition magazines have facilitated some of the worst mass murders ever committed in the United States. As these incidents occur in every region of the country, restricting civilian access to these weapons is not a state specific problem. That's why the Crime Commission supports federal action to protect all Americans by reinstating the ban on large capacity ammunition magazines.

You can view the database and learn more about the Crime Commission's efforts to combat large capacity ammunition magazines on our website at: <http://www.nycrimecommission.org/>



DAILY NEWS



"Everyone must express their outrage in every manner known. Ignore the nonsense that says there is nothing we can do. When to act? Tomorrow morning. How? For starters, the President can state that he will sign the ban on assault weapons and large capacity magazines. Congress — embarrassed into action by the public, which is who they work for — can feel a pang of responsibility and reinstate the ban." - Ban Killer Weapons and Do It Right Now by Richard Aborn, *New York Daily News* December 16, 2012

msnbc

"There's an enormous amount we can do. We are living in a country that took violent crime that was at record highs in the '90s and have driven it to a record low. We can do that again. We can have lots of research done. We need research to understand the relationships in the gun violence area." - Richard Aborn on MSNBC: Melissa Harris-Perry February 4, 2013



The New York Times

"We're pretty convinced that once the kid makes the decision to pick up that gun in a moment of anger, he is likely to use it," Richard Aborn said. "So the question for us is how do you prevent him from picking up the gun in the first place?" - Shaping a Gun Reform Agenda that Includes Teenagers and Handguns by Clyde Haberman, *The New York Times* February 24, 2013

"Richard Aborn, president of the Citizens Crime Commission of New York City, said Cuomo clearly understood gun violence is a complex issue requiring broader solutions than simply banning a particular weapon. "I think that's an important message for the nation," he said." - NY Passes First US Gun Control Law Since Massacre by Michael Virtanen, *Associated Press* January 15, 2013





Zuckerman Spaeder LLP Congratulates Robert Morgenthau

Zuckerman Spaeder LLP is proud to join the Citizens Crime Commission of New York City in honoring former Manhattan District Attorney Robert Morgenthau, deserving recipient of the Theodore Roosevelt Leadership Award.



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GANG AND GROUP VIOLENCE PREVENTION

New York City continues to experience unprecedented sustained reductions in crime, but not all communities have benefited. The New York City Council Gun Violence Task Force reports that 44% of shooting incidents occur in just 11 police precincts and violence is concentrated among youth ages 14 to 24. Further, the NYPD reports that “crews” (loosely affiliated groups of youth) are responsible for approximately 30% of shootings incidents.

To effectively prevent gang and group violence we must understand the drivers of violence and develop long-term solutions that provide appropriate services for youth in these communities.

Supported by a grant from The New York Community Trust, the Crime Commission is working to enhance the coordination of gang and group violence prevention efforts across New York City.

Building upon its historic mission, the Crime Commission is seeking to develop long-term solutions that address neighborhood-level crime problems by addressing the question of how government, law enforcement, criminal justice, and community-based programs can work more effectively to prevent gang and group violence.

RAISE THE AGE TO 18

Fifty years ago New York State passed sweeping changes to the justice system, which established the age of criminal responsibility at age 16 as a “tentative decision”, pending further study. Decades later the law hasn’t changed, which means if your 16- or 17-year-old child were to commit a crime he or she would be tried as an adult and could be sentenced to serve time in an adult prison. New York is one of only two states which still do this.

Over the last 50 years, a great deal of research has been conducted about the implications of this policy. Studies ranging from analysis of justice system outcomes, to adolescent brain development, to comparisons of national approaches to justice – all conclude that teens are different than adults and punishing them as adults is ineffective.

The Crime Commission, in partnership with the New York Center for Juvenile Justice – led by retired NY Judge Michael Corriero – is working on a statewide initiative to raise awareness of the negative impacts of treating 16- and 17-year-olds as adults in the criminal justice system, and to build public support for legislation to raise the age of criminal responsibility in New York to 18 years old for less serious and nonviolent crimes.

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DOMESTIC TERRORISM

Since September 11th, 2001, there have been over 100 incidents of “home-grown” or domestic terrorism in the United States. To provide a valuable resource on this topic, the Crime Commission has compiled an extensive web-database of incidents including attacks, plots, support for, membership in, or connections with a terrorist organization. While information about terrorist incidents are available elsewhere, both on and off the Internet, the Crime Commission’s database is unique in providing a centralized, concise, user-friendly, and visually engaging informational resource on domestic terrorism.



You can view the database and learn more about the Crime Commission’s counter-terrorism initiatives on our website at <http://www.nycrimecommission.org>

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our Country, and to all Citizens of the World.

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including hosting tonight's award ceremony*



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